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3-16-04
Tasa M. Davis
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Clifford Chance US LLP

Docket No. 5677-177

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alan P. Kozikowski et al.

Filed: September 9, 2003 Group Art Unit: 1626

Serial No: 10/658,241 Examiner: TBA

For: SYNTHESIS OF DIMERIC, TRIMERIC, TETRAMERIC PENTAMERIC, AND HIGHER OLIGOMERIC EPICATECHIN-DERIVED PROCYANIDINS HAVING 4BETA,8-INTERFLAVAN LINKAGES AND THEIR USE TO INHIBIT CANCER CELL GROWTH THROUGH CELL CYCLE ARREST

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action

was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.



Date: March 16, 2004

Respectfully submitted,

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Serial No. 10/658,241
INFORMATION DISCLOSURE STATEMENT				Inventors: Alan P. Kozikowski
(Use several sheets if necessary)				Docket No. 5677-177

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,156,912	12-5-00	Tückmantel et al.	549	399	
		6,207,842	3-27-01	Romanczyk, Jr. et al.	549	399	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
	WO 90/13304	15-11-90	WO	
	0216936	08-04-87	Europe	
	0039844	18-11-81	Eurpoe	No
	1518003	07-01-65	Dutch	No

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Botha et al., Dept. of Chemistry, University of the Orange Free State, 1980, pgs. 527-533
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	Delcour et al., J. Chem. Soc. Perkins Trans., 1983, pgs. 1711-1717
	Delcour et al., Laboratorium voor Toegepaste Organische, 1986, Vol. 92 pgs. 244-249
	D. Ferreira et al., Tetrahedron, 1992, Vol. 48, pgs. 1743-1803
	Kawamoto et al., Mokuzai Gakkaishi, 1991, Vol. 37 pgs. 741-747
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	Kozikowski, et al., J. Org. Chem. 2001, Vol. 66, pgs. 1287-1296
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		Jpn. Kakar Tokyo Koho JP 6248,677 3/3/87, Chem. Abstr. Vol. 107, No. 26, p. 643 (1987) Abstr. No. 107: 6996d
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		JP 58154571 9/14/83 "Tannin Deviv. for Tanning Leather – combine with Enzyme Proteins in Living Body to Inhibit Their Function" Wep Accession No. 83-797400
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		E.J. Keagh et al. Chem. Ind (London) 1961:2100-1

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]